

Drinking Water Matching Planning Grant

Guidelines and Application



Delaware Health & Social Services
Division of Public Health
Drinking Water State Revolving Fund Program

Delaware Water Infrastructure Advisory Council

January 2015

GENERAL INFORMATION

Drinking Water Matching Planning Grant recipients are reimbursed one dollar for every two spent on the approved project up to the grant amount. Reimbursement occurs only on expenses that are incurred and paid by the grant recipient. Matching funds can come directly from the recipient or from other sources such as another grant. If matching funds are to come from other sources, please check with the Division of Public Health as some sources, due to stipulations attached with the money, may not be eligible.

After a grant has been approved, an award letter is sent to the recipient that contains the final date for reimbursement. Projects that are in progress and less than 50 percent complete that meet the criteria set forth herein will be considered eligible for funding.

GRANT PURPOSE AND ELIGIBLE STUDY TYPES

The purpose of the Drinking Water Matching Planning Grant is to subsidize studies that promote Drinking Water planning and identify projects that can be funded by the Drinking Water State Revolving Fund (DWSRF). Here are the eligible study types, see definitions for a description of each study type:

- General Drinking Water Facility Plan
- Long Range Drinking Water Facility Plan
- Regional Drinking Water Facility Plan
- Environmental Information Document
- Environmental Impact Statement
- Asset or Sustainability Management Plan
- Project Plans and Specifications
- Security or Cyber Security Plans
- Water System Modeling using WaterCAD/WaterGEM or similar software products, ie: modeling to solve chronic water system problems such as low water pressure dead end elimination, determining the best place to add supply, transmission or storage, and investigating water production capacity of location for intended well locations

If you have any questions regarding the eligibility of a particular study, please contact the Division of Public Health for an eligibility determination.

FUNDING LIMITATIONS, PRIORITY, AND APPROVAL

Only municipal and county Drinking Water utilities are eligible to obtain a Drinking Water Matching Planning Grant. Drinking Water Matching Planning Grants are limited to \$300,000 per calendar year, January 1 to December 31. The annual limit can be from one or multiple planning grants.

At the first Water Infrastructure Advisory Council (WIAC) meeting each fiscal year, a certain amount is set aside from the DWSRF's Non-Federal Administrative Account to fund the Drinking Water Matching Planning grants in the fiscal year. Grants will be allotted each year until that allocation is exhausted. When more than 75% of the grant allocation has been awarded, the WIAC Drinking Water

Subcommittee will meet to determine the funding priority of the grant applications. Priority will be given to Drinking Water planning and studies that identify projects which can be funded by the DWSRF.

A brief overview of each grant application that meets the requirements herein is presented to the WIAC for approval. Although WIAC approval is required for each grant, the Secretary of DHSS has sole authority for approval of funds from the DWSRF Non-Federal Administrative Account.

SUBMISSION DATES

The DWSRF Program presents Drinking Water Matching Planning Grants to the WIAC as needed throughout the year, based on applications received. Grants applications will be accepted on a continual basis for the first year (beginning in January 2015), with possible adjustments made to that schedule in subsequent years, based on demand. If you have any questions regarding the submission date, please contact the DWSRF Program.

ROLE OF THE DWSRF PROGRAM ADMINISTRATOR

The DWSRF Administrator will be assigned to each grant. The role of the Administrator is to review the pay requests and project deliverables. The Administrator will also request periodic status reports from those doing the work and shall attend planning meetings. Payment will not be made until the Administrator has signed off on the work completed.

DEFINITIONS

General Drinking Water Facility Plan – means a document setting forth in text and maps the physical, demographic, and economic conditions of the Drinking Water utility's service area; and containing policies, statements, goals and planning components for extension of service, maintenance and upgrades of existing and future facilities for a portion of a community or service area or for technical plans for upgrading or expanding collection, transmission, treatment, disposal, and/or residuals management facilities. The planning area may include all or a portion of the Drinking Water utility's planning area.

Long Range Drinking Water Facility Plan – means a document setting forth in text and maps the physical, demographic, and economic conditions of the Drinking Water utility's service area; and containing policies, statements, goals and planning components for extension of service, maintenance and upgrades of existing and future facilities for an individual community or service area.

Regional Drinking Water Facility Plan – means a document setting forth in text and maps the physical, demographic, and economic conditions of the Drinking Water utility's service area; and containing policies, statements, goals and planning components for extension of service, maintenance and upgrades of existing and future facilities for multiple communities or service areas.

Environmental Information Document – means the document that discusses the proposed project and its possible environmental impacts. The Environmental Information Document may be a separate section in the project technical report, or it may be a stand-alone document for public involvement

requirements. See the Environmental Information Document Guidelines included in the DWSRF Governmental Funding Application.

Environmental Impact Statement – means a thoroughly scoped document prepared to describe the effects of a project on the environment. Public participation is integral to the Environmental Impact Statement. Please contact the DWSRF Program for more information.

Asset or Sustainability Management Plan – means a plan that provides the methods of managing Drinking Water utilities so they function to an acceptable level of service at the lowest life-cycle cost. Acceptable Drinking Water Matching Planning Grant studies for asset or sustainability management plans include creating or updating asset management or sustainability management plans, hydraulic Drinking Water models, and compiling utility information. Due to the variety of qualifying asset management studies, please contact the DWSRF Program for an eligibility determination of the study type.

Project Plans and Specifications – means plans and specifications for a Drinking Water project. To qualify for a Drinking Water Matching Planning Grant, a project must be on the DWSRF Project Priority List.

INSTRUCTIONS

Application Cover Sheet and Check List:

The Drinking Water utility must submit a resolution adopted by the utility's governing body approving the planning project.

The Drinking Water Utility must submit a copy of a letter sent to the nearest municipal and/or private drinking water utility advising them of the planning activity. In addition the Drinking Water Utility must submit a copy of a letter sent to the Office of State Planning if a possible territorial expansion is included in the planning activity.

Information Sheet: Self Explanatory

Scope of Work Document: This document should be no longer than two or three pages and address ***all*** of the following items:

1. A description of the work to be completed
2. Planning period
3. Date of the most recent Drinking Water facilities plan (if applicable)
4. Deliverables associated with the study such as: Drinking Water Facility Plan, Asset Management Plan, Plans and Specs, etc.

Please attach the Scope of Work document to the application.

Application Cover Sheet and Check List

Drinking Water Utility Name: [Enter Name](#)

Date of Application: [Click here to enter a date.](#)

Check List for Application Materials

- This Sheet
- Information Sheet
- Approval Resolution
- Letter(s) to the nearest municipal and/or private drinking water utility advising them of the planning activity
- Copy of a letter sent to the Office of State Planning if a possible territorial expansion is included in the planning activity.
- Scope of Work Document

Information Sheet

Drinking Water Utility Contact Information:

- Contact Name: Contact Name
- Contact Phone: Contact Phone
- Contact Email: Contact Email

Consultant Contact Information:

- Consulting Firm: Firm Name
- Contact Name: Contact Name
- Contact Phone: Contact Phone
- Contact Email: Contact Email

Project Name, Description, and Dates:

Project Name

Project Description (1 or 2 Sentences)

Project State Date: [Click here to enter a date.](#)

Project Completion Date: [Click here to enter a date.](#)

Cost Summary:

- Estimated Total Project Cost: Total Cost
- Grant Amount Requested: Grant Amount

Name of Authorizing Representative: Name

Signature of Authorizing Representative

Date